

From: [Pham, Ann](#)
To: [Falcon, Frank II](#); [Taber, Aaron](#)
Cc: [ORA Export Controls](#)
Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132
Date: Wednesday, September 20, 2023 8:33:22 PM
Attachments: [image001.png](#)

Hi Frank,

Thank you for your patience. The Export Control team completed our review with no significant issues noted in terms of export control and international engagement. **VCR Wakimoto has agreed with our recommendation to accept this grant** based on the following details:

OCGA proposes to accept a \$150,000 grant on behalf of the UCLA Department of Environmental Health (PI: Yifang Zhu) from The Energy Foundation/Energy Foundation China, which is a partnership of philanthropies focused on securing a clean and equitable energy future to tackle the climate crisis. The Energy Foundation China is a subsidiary of The Energy Foundation, located in California. The objective of the grant is to organize a training program for a delegation of regulators from the Ministry of Ecology & Environment of China. In collaboration with the California Air Resources Board and the South Coast Air Quality Management District, the program aims to showcase California's successful integration of science and public policy in developing effective strategies for air pollution control and climate mitigation. The year-long project with three distinct tasks will culminate in a tour in Los Angeles and the U.S. EPA in North Carolina (March to June 2024). UCLA PI Zhu will coordinate logistics and formulate the agenda for the Los Angeles segment of the visit.

If the information above is inaccurate or changes by the time the agreement is executed, please let us know. Otherwise, please feel free to move forward.

Sincerely,
Ann

From: Pham, Ann
Sent: Wednesday, September 20, 2023 7:11 PM
To: Falcon, Frank II [REDACTED]; Taber, Aaron
[REDACTED]
Cc: ORA Export Controls [REDACTED]
Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132

Hi Frank,

I escalated to the VCR, recommending that we move forward after we had a chance to discuss with the PI earlier today. He is typically quick to turn things around. I will let you know as soon as I hear back.

Thank you,

Ann

From: Falcon, Frank II [REDACTED]
Sent: Wednesday, September 20, 2023 5:33 PM
To: Taber, Aaron [REDACTED]; Pham, Ann [REDACTED]
Cc: ORA Export Controls [REDACTED]
Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132
Importance: High

Hi Aaron and Ann,

Just checking in to see if there is an update. As a reminder, the sponsor had set a deadline of 09/22 to accept the award.

Thank you,

Frank Falcon

Principal Contract and Grant Analyst
UCLA Office of Contract & Grant Administration
10889 Wilshire Boulevard, Suite 700
Los Angeles, CA 90095-1406

T: [REDACTED]
<https://ocga.research.ucla.edu/>



Internal Reference: 20235132

From: Taber, Aaron [REDACTED]
Sent: Friday, September 15, 2023 4:29 PM
To: Falcon, Frank II [REDACTED]; Pham, Ann [REDACTED]
Cc: ORA Export Controls [REDACTED]
Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132

Hi Frank,

Thank you for following up. Let me chat with again with Ann and get back to you early next week. Thanks for your patience!

Aaron

From: Falcon, Frank II [REDACTED]
Sent: Friday, September 15, 2023 7:12 PM
To: Taber, Aaron [REDACTED]; Pham, Ann [REDACTED]
Cc: ORA Export Controls [REDACTED]

Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132

Importance: High

Hi Aaron,

Just checking in. The sponsor has set a deadline of 09/22 to accept the award. Can you please provide an update as to whether we may move forward with award acceptance from an RPC/Export Control perspective?

Thank you,

Frank Falcon

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Internal Reference: 20235132

From: Taber, Aaron [REDACTED]
Sent: Tuesday, August 15, 2023 4:45 PM
To: Falcon, Frank II [REDACTED]; Pham, Ann [REDACTED]
Cc: ORA Export Controls [REDACTED]
Subject: RE: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132

Hi Frank,

Thanks for reaching out. Ben and I did some refreshed screening for Energy Foundation (and Energy Foundation China) in the context of this current award. The documents indicate that UCLA will develop and deliver a training program for members of China's Ministry of Ecology and Environment. Given heightened interest in some types of 'services' UCLA could provide to Chinese government recipients, can we take a week to ensure the proper approvals are in place? I do not envision hurdles that would prevent UCLA from accepting the award. Rather, we will likely want to talk with the PI and department about other considerations, like ensuring individuals are screened with Visual Compliance.

Best,

Aaron

From: Falcon, Frank II [REDACTED]

Sent: Friday, August 11, 2023 3:24 PM

To: Pham, Ann [REDACTED]; Taber, Aaron [REDACTED]

Cc: ORA Export Controls [REDACTED]

Subject: Grant Agreement from the Energy Foundation China; PI: Yifang Zhu; PATS#20235132

Hi Ann and Aaron,

We've received an agreement from the Energy Foundation China for Dr. Yifang Zhu.

In the past, OCGA has checked in with you regarding this sponsor and there were no concerns. May I confirm whether this is still the case and confirm whether we may move forward with accepting this agreement? I've included a copy of the proposal containing the scope of work (page 3-4) in case you need to review this.

Please note, the sponsor has requested we finalize the agreement by **09/01/2023**. Please let me know if you have any questions or if I can provide anything additional to help expedite the review in order to meet this deadline.

Thank you,

Frank Falcon

Principal Contract and Grant Analyst

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